



## BUSINESS CONTINUITY PLAN

Reva Capital Markets, LLC (“Reva Capital”) has developed a Business Continuity Plan (“BCP”) based on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our BCP.

**Contacting Us** – If after a significant business disruption you cannot contact us as you usually do at 212-464-7363, you should call our alternative numbers 917-432-7783 or visit our website at [www.revacap.com](http://www.revacap.com).

**Our Business Continuity Plan** - Reva Capital plans to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm’s books and records, and most importantly allowing our client’s to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients, employees, and regulators; alternate physical location of our employees; critical suppliers, contractor, bank and counter-party-impact; regulatory reporting; and assuring our clients prompt access to their funds and securities.

Any questions or concerns regarding the Firm’s Business Continuity Plan should be directed to the Compliance Department.